Electronic Acknowledgement Receipt				
EFS ID:	3847191			
Application Number:	10820876			
International Application Number:				
Confirmation Number:	9271			
Title of Invention:	System and method for integrating a convertible security with a call sprea			
First Named Inventor/Applicant Name:	Daniel Breen			
Customer Number:	75127			
Filer:	George T. Marcou./Terry Evans			
Filer Authorized By:	George T. Marcou.			
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File Listing:					
Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1 Amendment After Final	SSB0005_1023466_1.pdf	158746	no	10	
		25ab0be126c0d5cd80ba86d51ce0d74bf19 0a87b			
Warnings:					
Information:					
2 Extension of Time	SSB0005_EOT_1033546_1.pdf	144217	no	1	
		a4ed857e3b405614188bcc57a6ca9298bf6 c85b7			
Warnings:					
Information:					
3 Fee Worksheet (PTO-06)	fee-info.pdf	30154	no	2	
		5cb06c2775fc4b88379f2fd7076db3114b19 055c			
Warnings:				<u>'</u>	
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## New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

## National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

## New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.